



Atty Dkt No. APF 40.01

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 3RECEIVED  
MAR 24 2003  
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In the Application of HAYNES, et al.

Serial No.: 09/846,091

Art Unit: 1632

Filed: 30 April 2001

Examiner: L. Scheiner

Title: NUCLEIC ACID IMMUNIZATION

## U.S. PATENT DOCUMENTS

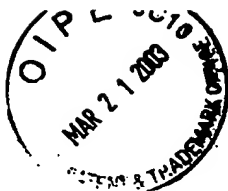
Exam.Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
BB	AA-1	4,945,050	7/31/90	Sanford et al.			
	AB-1	5,036,006	7/30/91	Sanford et al.			
	AC-1	5,100,792	3/31/92	Sanford et al.			
	AD-1	5,120,657	6/9/92	McCabe et al.			
	AE-1	5,149,655	9/22/92	McCabe et al.			
	AF-1	5,204,253	4/20/93	Sanford et al.			
	AG-1	5,405,779	4/11/95	McCabe et al.			
	AH-1	5,584,807	12/17/96	McCabe			
	AI-1	5,589,466	12/31/96	Felgner et al.			
	AJ-1	5,630,796	5/20/97	Bellhouse et al.			
	AK-1	5,643,578	7/1/97	Robinson et al.			
	AL-1	5,691,189	11/25/97	Kurtz et al.			
	AM-1	5,738,852	4/14/98	Robinson et al.			
	AN-1	5,753,237	5/19/98	Daynes et al.			
	AO-1	5,830,877	11/3/98	Carson et al.			
	AP-1	5,865,796	2/2/99	McCabe			
	AQ-1	5,911,982	6/15/99	Chao			
	AR-1	5,916,879	6/29/99	Webster			
BB	AS-1	6,168,587	1/2/01	Bellhouse et al.			

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Examiner: /Benjamin Blumel/

Date Considered: 01/09/2007

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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BB	AT-1	6,169,175	1/2/01	Frace et al.			
BB	AU-1	6,194,389	2/27/01	Johnston et al.			
BB	AV-1	6,239,116	5/29/01	Krieg et al.			

## FOREIGN PATENT DOCUMENTS

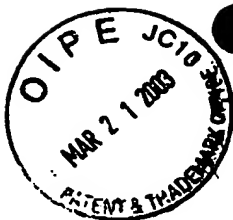
Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation	
							YES	NO
	AW-1	WO 94/21797	9/29/94	PCT				
	AX-1	WO 94/24263	10/27/94	PCT				
	AY-1	WO 96/04947	2/22/96	PCT				
	AZ-1	WO 96/12513	5/2/96	PCT				
	AA-2	WO 96/14855	5/23/96	PCT				
	AB-2	WO 96/20022	7/4/96	PCT				
	AC-2	WO 97/48485	12/24/97	PCT				
	AD-2	WO 98/03198	1/29/98	PCT			X (abstract)	
	AE-2	WO 98/10759	3/19/98	PCT				
	AF-2	WO 99/07839	2/18/99	PCT				
	AG-2	WO 00/02591	1/20/2000	PCT				
	AH-2	WO 00/03019	1/20/2000	PCT				

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OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
	AI-2	Torres et al. (1997) <i>J. Immunol.</i> pp:4529-4532
	AJ-2	Degano et al. (1998) <i>Vaccine</i> 16:394-398
	AK-2	Moldoveanu et al. (1998) <i>Vaccine</i> 16:1216-1224
	AL-2	Lindstrom et al. (1998) <i>Arch. Virology</i> 143:1585-1598
	AM-2	Levine et al. (1999) <i>Molec. Med. Today</i> 5:165-171
	AN-2	McCluskie et al. (1999) <i>Molec. Med.</i> 5:287-300
	AO-2	Bodey et al. (2000) <i>Anticancer Research</i> 20:2665-2676
	AP-2	Okuda et al. (2001) <i>Vaccine</i> 19:3681-3691

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